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# Knowledge extraction from medical literature using Recurrent Neural Networks

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### Abstract

The problem of extracting knowledge relationships from unstructured text has proved a challenge for NLP. We focus on extracting relationship information between drugs targeting bacteria from medical literature. Deep learning techniques have proved most fruitful of late in learning relationships from NLP tasks. We use a recurrent neural network architecture (LSTM) and use this to train on labeled sentences to decide whether a given relationship exists

## 1 Introduction

027 Extracting knowledge and summarizing knowledge from reading unstructured text remains one 028 of the large challenges in NLP. In this project I have focused on extracting medical relationships 029 from bio-medical literature. A complete repository of relationships such as gene-gene, gene-drug, bacteria-drug will be extremely helpful for better understanding drug response[3]. The number of 031 known and curated gene-gene relations is growing exponentially and is cataloged in databases such as BioGRID and ChEA. Medical literature itself is growing every year at a rapid rate and curating it 032 by humans is too slow, so it would be really useful if we had a tool that could automatically curate 033 these relationships for us. To be a little more concrete, I have focused on extracting relationships 034 between drugs targeting bacteria. If we are given a sentence with a drug and a bacteria, we want to be able to say whether the drug has any action in targeting the bacteria. Deciding this is a challenge as context matters a lot. Below are two examples to illustrate this point. 037

Minocycline and	levofloxacin	exhibi	ted the
maximum in vitro	activity aga	inst <mark>S.</mark>	maltophilia .

Figure 1: Drug(Levifloxacin) targets bacteria(S.maltophilia) is a a positive example

The first example is a clear sentence from which we can read and say that Levifloxacin definitely targets the bacteria S.maltophilia . The second example is vague and there is no clear evidence of Ipipenem acting on Escherichia Coli. We want to learn examples such as the first and pick out such relationships(ie. Levifloxacin acts on S.maltophilia) and ignore examples such as the second as it does not reveal anything insightful.

Deep learning approaches have been applied to several NLP tasks such as language modeling[4],
 sequence to sequence learning[5] with great successes. The natural architecture for learning on
 sequences is a recurrent neural network (RNN) or some variant of it. We use an LSTM architecture to learn on our data .

Our aims were : (i) to evaluate the activity of 056 temocillin in a urinary tract infection (UTI) model due to ESBL-producing Escherichia coli and 058 compare it with that of imipenem; and (ii) to 060

define in vivo susceptibility breakpoints .

- Figure 2: Ipipenem and Escherichia Coli. It is not clear what their relationship is . A negative example
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#### Dataset and pre-processing data 2

Since there is not dataset of labeled sentences with drugs targeting bacteria, I had to create my own 069 dataset for this purpose. I used the tool called [6]ddlite developed by Chris Re's group which is 070 useful in rapid prototyping and extracting relations. Ddlite was very useful in setting up the dataset. 071 I first downloaded a corpus of 14388 articles containing bacteria and drug mention keywords from 072 Pubmed central. After downloading this, I extracted all sentences that contain both a drug name 073 and a bacteria name from a dictionary match. I got a total of 7001 such sentences. We call such a 074 sentence as a "relation mention". The "relation-mentions" now need to be labeled with a positive 075 or a negative label depending on whether they exhibit a target sort of relationship between the drug 076 and the bacteria or not.

077 To do this, we must write rules which we call "Labeling functions" in ddlite. Each Labeling function is a rule that assigns the sentence a + 1 label if the relation exhibited meets the condition 079 of a positive target relation, labels -1 if the sentence meets the condition of a negative relation and 0 if the labeling function is not conclusive and can not decide. These rules have to be designed well to pick out good examples as we do not want to add noisy labels which will affect our final training. 081 We also want large "coverage" ie. our rules should be able to give a +1 or a -1 label to a large fraction of our data set. We do not want too many 0 labels as these are useless for training. 083

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085 I have written several rules (59 of them) that do labeling and got a coverage of about 60%, ie. I am able to label 60% of these sentences with a positive or a negative label. Some examples of labeling functions are: If we find the words "target","degrade","infect","conducive" in the sentence 087 between the drug and bacteria, mark these sentences with a + 1. Some examples of negative labeling 088 functions: If the bacteria and drug are too far apart in the sentence, separated by > 20 tokens, mark 089 it with a -1 as it is unlikely they have any relation. Often also things like chemical elements and 090 nucleotides are mentioned as drugs, mark these as negative examples as well. 091

Finally it may happen that a sentence may have several different labels, because of different labeling 092 functions clashing. In this case we take a majority vote and assign a single label to the sentence. 093 After doing this I finally generated a computer labeled dataset of 2157 sentences. Of this 906 have 094 the label +1 and 1251 have the label -1.

095 We hope that this dataset is good enough for training a deep learning model that would capture 096 language features and from that learn what a postive or a negative example would look like. I split 097 this dataset to 1600 for training, 300 for testing hyper-parameters and 257 for my development set.

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# 2.1 pre training word-vectors

101 I created a vocabulary of word embedding trained specifically for this task on Medical literature. 102 I used a subset of the Medline corpus containing several thousand medical abstracts. The size of 103 this corpus in all was 1.5GB and I got it from the lab I work in (Russ Altman's lab). The word 104 vectors were trained using Tensorflows version of word2vec with skipgram and throwing out ultra 105 rare tokens which occur < 5 times in the corpus. The dimension of the word embeddings is 128.

Since the word embeddings generated contain both bacteria and drugs, I first did an initial experi-106 ment to see if there is any clustering of concepts ie. do well formed concepts such as a bacteria and 107 a drug emerge from these word embeddings and can we visualize them? I plotted the 2D PCA of the top 100 most frequently occuring drugs and bacteria for this purpose.



Figure 3: 2D PCA of top 100 most frequent bacteria and drugs. Bacteria are in red and drugs are in blue

The word embedding do not cluster well on meaning. As you can see from the figure, the clusters
overlap a lot . The data-set for training word vectors is probably not large enough to have formed
these well developed concepts.

# 3 Approach

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We use the recurrent neural network architecture framework because this is what is quite natural when you have sequential data. RNNs are very successful in learning on large sequences and modeling the sequences. We use a popular version of the RNN called as the LSTM (long short term memory)

### **3.1 Model-LSTM recurrent neural networks:**

The LSTM (long short term memory) are a modified kind of recurrent neural networks. They were 140 introduced by Hochreiter and Schmidhuber (1997)[7], and have been successfully used by many 141 people in following work. They work tremendously well on a large variety of problems, and are 142 now widely used. Vanilla RNN's can learn long term dependencies in principle but do not work well 143 in practice. This is because they suffer from the problem of vanishing and exploding gradients. The 144 LSTM solves this problem elegantly by defining a cell state that is a linear combination of a new 145 state and the previous state. This allows it to remember information across several time steps and in 146 practice has much better performance. The wonderful review article by Christopher Olah explains 147 the concepts behind LSTM quite well [2]. The basic structure of the LSTM is shown in the picture 148 below in figure 4:

The state of the LSTM is referred to by the symbol  $C_t$  This is updated at every step according to the update rules. The final hidden state  $h_t$  is got from the cell state.

### 3.2 The LSTM update equations:

The LSTM has 3 gates , a forget gate , an input gate and an output gate. The forget gate controls how much of the previous state we want to keep, the input gate regulates how important the current input information is and the output gate regulates the output. It is best understood by the equations:

$$f_t = \sigma(W_f \cdot [h_{t-1}, x_t] + b_f) \tag{1}$$

Here  $f_t$  is the forget gate,  $W_f \in \mathbb{R}^{(n*n)}$  and  $b_f \in \mathbb{R}^{(n)}$ .

<sup>159</sup> Similarly we also have an input gate and an update state:

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$$i_t = \sigma(W_i.[h_{t-1}, x_t] + b_i)$$
(2)

$$\tilde{C}_t = Tanh(W_c[h_{t-1}, x_t] + b_c) \tag{3}$$



The repeating module in an LSTM contains four interacting layers.

Figure 4: An unrolled LSTM recurrent neural network

Here as before  $W_i \in \mathbb{R}^{(n*n)}, W_c \in \mathbb{R}^{(n*n)}, b_i \in \mathbb{R}^{(n)}, b_c \in \mathbb{R}^{(n)}$  The input and forget gates act to determine how much of the old state to forget and how much of the new state to use to develop the output state.

$$C_t = f_t \circ C_{t-1} + i_t \circ \tilde{C}_t \tag{4}$$

$$o_t = \sigma(W_o.[h_{t-1}, x_t] + b_o)$$
 (5)

$$h_t = o_t \circ Tanh(C_t) \tag{6}$$

Finally the output gate acts on the final state to produce the current hidden state. The output gate controls what it decides is important to outputted to the hidden state. Of course  $W_o \in \mathbb{R}^{(n*n)}$  and  $b_o \in \mathbb{R}^{(n)}$ 

It is the final hidden state that we are interested in. The complicated dynamics of creating this state
 allows the LSTM to solve the vanishing, exploding gradient problems and learn well over long time
 steps.

We finally feed the final hidden state to a softmax layer (with two output states) and train the neural network with the Cross Entropy cost for the Softmax layer.

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# 4 Experiment:

We train the LSTM using the cross entropy cost of the final hidden state. I modified a version of the LSTM code available to train MNIST [8]. Since tensorflow requires you to enter the number of steps in an RNN from before, you need to pad the sentences to a fixed length. What this means is that a special 'PAD' symbol must be introduced in the embedding which is a zero vector. All shorter sentences than the padded length must have the 'PAD' symbol at the end to make it of the fixed length. Larger sentences will get cut off. The average length of a sentence in my dataset was 38 tokens. The padded length is a hyper parameter that must be varied to get optimum performance.

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### 4.1 Values of the hyper parameters used and tuned

- Each word in the dictionary has a fixed length of 128.
- The dimension of the hidden state is 200 .Performance did not change with changing it to 256
- **Total epochs** : 15 or 20
- Number of steps is varied between 10 to 150. The average sentence length is 38
- Learning rate : .001
- Batch size : 30
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I used the Adam optimizer to optimize.

#### **Results:**

Since my training set is small (1800) sentences, the model overfits on the training data. The model is quite sensitive to the length of the padded sentence used (the number of steps). I got the best performance for number of steps equal to 50 with a classification accuracy of 65% on the **dev. set**. I have plotted the performance of the classification algorithm on the dev. set as a function of the number of steps used in figure5.



Figure 5: Classification accuracy on the dev set as a function of the number of steps used

It is interesting that we have a peak performance near the average sentence length of my data. Very small lengths are expected to be bad as we cut off too much information. Very long sentence lengths get confused on the shorter sentences as they have too many trailing zeros from the padding. Due to this they get stuck in local minima that they can't come out of and do not have good performance. I have also plotted the dev set accuracy as a function of number of training epochs. This turned out to be quite instructive and we can see how the sentences which are padded to larger lengths get stuck in local minima of the cost during training and the accuracy does not change much(unless it jumps abruptly out of the minima)

The shorter padded sentence length of 65 shows increasing accuracy (on dev set) with training epochs. The large padded length of 150 shows no improvement from one epoch to the next. It is stuck in local minimas. However it jumps out of one local minima only to be stuck in another.

#### Conclusions

Our LSTM model is clearly able to learn as we have about 65% classification on the dev.set. However we are limited by our data which is computer generated so, we do not know whether it is learning actual relationships or just fitting the rules I have defined with my labeling functions. It will be amazing to have a good human labeled dataset for this purpose.

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Figure 6: Classification accuracy on the dev set as a function training epoch and sentence length= 65



Figure 7: Classification accuracy on the dev set as a function training epoch and sentence length= 150. Note how the accuracy randomly jumps from one local minima to another

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